Topological insulators and superconductors

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5th lecture

- 1. Symmetries & ten-fold classification
 - Symmetry classes of ten-fold way
 - Dirac Hamiltonians and Dirac mass gaps
 - Periodic table of topological insulators and superconductors

2. Topological semi-metals and nodal superconductors

- Momentum-dependent invariants
- Examples: Weyl semi-metal, Weyl superconductors, etc.
- Classification in terms of global symmetries

Symmetry classes: "Ten-fold way"

(originally introduced in the context of random Hamiltonians / matrices)

> time-reversal invariance:
$$T = U_T \mathcal{K}$$
 (is antiunitary)
 $T^{-1}\mathcal{H}(-\mathbf{k})T = +\mathcal{H}(\mathbf{k})$

$$2^{2} = -1$$

complex conjugation

 $T^2 = +1$

particle-hole symmetry (Ξ): $C = U_C \mathcal{K}$

$$C^{-1}\mathcal{H}(-\mathbf{k})C = -\mathcal{H}(\mathbf{k})$$

$$C: \begin{cases} 0 & \text{no particle-hole symmetry} \\ +1 & \text{particle-hole symmetry and} \quad C^2 = +1 \\ -1 & \text{particle-hole symmetry and} \quad C^2 = -1 \end{cases}$$

In addition we can also consider the "sublattice symmetry" $S \propto TC$

S:
$$S\mathcal{H}(\mathbf{k}) + \mathcal{H}(\mathbf{k})S = 0$$

Note: SLS is often also called "chiral symmetry"



Ten-fold classification:

- classifies fully gapped topological materials in terms of *non-spatial symmetries* (i.e., symmetries that act *locally* in space)
- non-spatial symmetries:



Ten-fold classification:

- classifies fully gapped topological materials in terms of *non-spatial symmetries* (i.e., symmetries that act *locally* in space)
- non-spatial symmetries:
 - time-reversal: particle-hole: sublattice: $T\mathcal{H}(\mathbf{k})T^{-1} = +\mathcal{H}(-\mathbf{k}); \qquad T^{2} = \pm 1$ $C\mathcal{H}(\mathbf{k})C^{-1} = -\mathcal{H}(-\mathbf{k}); \qquad C^{02}_{AI} = -\mathbf{k} \pm 1$ $C\mathcal{H}(\mathbf{k})C^{-1} = -\mathcal{H}(-\mathbf{k}); \qquad C^{02}_{AI} = -\mathbf{k} \pm 1$ $C\mathcal{H}(\mathbf{k})E^{-1} = -\mathcal{H}(-\mathbf{k}); \qquad C^{02}_{AI} = -\mathbf{k} \pm 1$ ten symmetry classes All DIII CII $\Pi H(\mathbf{k})\Pi^{-1} = -H(\mathbf{k}); \quad \Pi \propto \Theta \Xi$ Symmetry TSCClass 0 0 0 Α Random Matrix Classes 0 0 AIII 1 Altland-Zirnbauer 1 0 AI 0 For which symmetry class and 1 1 BDI 1 dimension is there a topological 0 1 0 D insulator/superconductor? DIII -1 1 1 -1 0 0 All -1 -1 CII 1 С -1 0 0 CI -1 1

Symmetries and Dirac Hamiltonians

Dirac Hamiltonian in spatial dimension d: $\mathcal{H}(k) = \sum_{i=1}^{a} k_i \gamma_i + m \gamma_0$ $E_{\pm} = \pm \sqrt{m^2 + \sum_{i=1}^{d} k_i^d}$

- Gamma matrices γ_i obey: $\{\gamma_i, \gamma_j\} = 2\delta_{ij}$ $i = 0, 1, \dots, d$
- TRS, PHS and chiral symmetry lead to the conditions:

$$[\gamma_0, T] = 0 \qquad \{\gamma_{i \neq 0}, T\} = 0 \{\gamma_0, C\} = 0 \qquad [\gamma_{i \neq 0}, C] = 0 \qquad \{\gamma_i, S\} = 0$$

• Topological phase transition as a function of mass term $m\gamma_0$



? are there extra symmetry preserving mass terms $M\gamma_{d+1}$ that connect the two phases without gap closing?

$$\{\gamma_{d+1}, \gamma_i\} = 0 \quad i = 0, 1, \dots 2$$
 $E_{\pm} = \pm \sqrt{m^2 + M^2 + \sum_{i=1}^d k_i^d}$

O: topologically non-trivial

ES: topologically trivial

Symmetries and Dirac Hamiltonians

- Dirac Hamiltonian in spatial dimension d: $\mathcal{H}(k) = \sum_{i=1}^{n} k_i \gamma_i + m \gamma_0$ $E_{\pm} = \pm \sqrt{m^2 + \sum_{i=1}^{d} k_i^d}$
 - Gapless surface states (interface states):

$$\mathcal{H} = \gamma_0 \left(\widetilde{m} \mathbb{I} - i\gamma_0 \gamma_d \frac{\partial}{\partial r_d} \right) + \sum_{i=1}^{d-1} k_i \gamma_i$$

surface state ϕ : $i\gamma_0\gamma_d\Phi = \pm \Phi$ surface Hamiltonian: $\mathcal{H}_{surf} = \sum_{i=1}^{d-1} k_i \mathbf{P}\gamma_i \mathbf{P}$ gapless surface spectrum: $E_{surf}^{\pm} = \pm \sqrt{\sum_{i=1}^{d-1} k_i^2}$ $\mathbf{P} = (\mathbb{I} - i\gamma_0\gamma_d)/2$

- Presence of extra symmetry preserving mass term implies gapped surface states
 - extra mass term projected onto surface is non-vanishing

$$M\mathbf{P}\gamma_{d+1}\mathbf{P}$$
 anti-commutes with $\mathbf{P}\gamma_i\mathbf{P}$ $i=1,\ldots,d-1$

gapped surface spectrum

$$k_d \to i\partial/\partial r_d$$

$$m < 0$$
 $m > 0$
n=1 n=0
 $r_d < 0$ $r_d > 0$

Dirac Hamiltonian in symmetry class Alll

• Topological phase transition as a function of mass term $m\gamma_0$



$$S = \sigma_1 \qquad S\mathcal{H}(\mathbf{k}) + \mathcal{H}(\mathbf{k})S = 0$$

• One-dimensional Dirac Hamiltonian with rank 2:

 $\mathcal{H}(k) = k\sigma_3 + m\sigma_2$

no extra symmetry preserving mass term exists

 \Rightarrow class AIII in 1D is topologically non-trivial

- space of normalized mass matrices $V_{d=1,r=2}^{\text{AIII}} = \{\pm \sigma_2\}$

One-dimensional Dirac Hamiltonian in symmetry class All

 $T^{-1}\mathcal{H}(-\mathbf{k})T = +\mathcal{H}(\mathbf{k}) \qquad T^2 = -1$

*Dirac matrices with rank 2:

 $\mathcal{H}(k) = k\sigma_3 \qquad \qquad T = i\sigma_2 \mathcal{K}$

- no symmetry-allowed mass term exists \Rightarrow impossible to localize $(\sigma_1 \text{ and } \sigma_2 \text{ violate TRS})$

- describes edge state of 2D topological insulator in class All

*Dirac matrices with rank 4:

$$\mathcal{H}(k) = k\sigma_3 \otimes \tau_1 + m\sigma_0 \otimes \tau_3 \qquad T = i\sigma_2 \otimes \tau_0 \mathcal{K}$$

- extra symmetry preserving mass term: $M\sigma_3\otimes au_2$

 \implies class All in 1D is topologically trivial

space of normalized mass matrices

$$V_{d=1,r=4}^{\text{AII}} = \{ \mathbf{M} \cdot \mathbf{X} | \mathbf{M}^2 = 1 \} = S^1 \qquad R_3 : U(2N)/Sp(N)$$
$$\mathbf{M} = (m, M), \qquad \mathbf{X} = (\sigma_0 \otimes \tau_3, \sigma_3 \otimes \tau_2)$$

• connectedness of space of normalized Dirac masses: $\pi_0(R_3) = 0$

Two-dimensional Dirac Hamiltonian in symmetry class All

 $T^{-1}\mathcal{H}(-\mathbf{k})T = +\mathcal{H}(\mathbf{k}) \qquad T^2 = -1$

• Dirac matrices with rank 4: $T = i\sigma_2 \otimes \tau_0 \mathcal{K}$

$$\mathcal{H}(\mathbf{k}) = k_1 \sigma_3 \otimes \tau_1 + k_2 \sigma_0 \otimes \tau_2 + m \sigma_0 \otimes \tau_3$$

- no symmetry-allowed mass term exists \Rightarrow topologically non-trivial ($\sigma_1 \otimes \tau_1, \sigma_2 \otimes \tau_1$ violate TRS)

• "Doubled" Dirac Hamiltonian:

$$\mathcal{H}_{2}(\mathbf{k}) = \begin{pmatrix} \mathcal{H}(\mathbf{k}) & 0\\ 0 & \hat{\mathcal{H}}_{\mu\nu\lambda}(\mathbf{k}) \end{pmatrix} \qquad \mu, \nu, \lambda \in \{+1, -1\}$$
$$\hat{\mathcal{H}}_{\mu\nu\lambda}(\mathbf{k}) = \mu k_{1}\sigma_{3} \otimes \tau_{1} + \nu k_{2}\sigma_{0} \otimes \tau_{2} + \lambda m\sigma_{0} \otimes \tau_{3}$$

- extra symmetry preserving mass terms:

e.g. for
$$\mu = +, \nu = +, \lambda = +: \sigma_2 \otimes \tau_1 \otimes s_1, \sigma_1 \otimes \tau_2 \otimes s_2$$

- \implies gapped surface spectrum
- \implies class All in 2D has Z_2 classification
- space of normalized mass matrices: $R_2 = O(2N)/U(N)$ $\pi_0(R_2) = \mathbb{Z}_2$

Dirac Hamiltonian in symmetry class A

• One-dimensional Dirac Hamiltonian with rank 2:

 $\mathcal{H}(k) = k\sigma_1 + m\sigma_2 + \mu\sigma_0$

— extra symmetry preserving mass term: $M\sigma_3$

 \implies class A in 1D is topologically trivial

- space of normalized mass matrices

 $V_{d=1,r=2}^{A} = \{\tau_2 \cos \theta + \tau_3 \sin \theta | 0 \le \theta < 2\pi\} = S^1 \qquad C_1: \ U(N)$

- connectedness of space of normalized Dirac masses: $\pi_0(C_1) = 0$
- *Two-dimensional* Dirac Hamiltonian with rank 2:

 $\mathcal{H}(\mathbf{k}) = k_x \sigma_x + k_y \sigma_y + m \sigma_z + \mu \sigma_0$

- no extra mass term exists \Rightarrow class A in 2D is topologically non-trivial
- describes two-dimensional Chern insulator
- *Two-dimensional* "doubled" Dirac Hamiltonian:

 $\mathcal{H}_2(\mathbf{k}) = \mathcal{H}(\mathbf{k}) \otimes \tau_0$

- no extra gap opening mass term exists \Rightarrow topologically non-trivial

 \Rightarrow indicates \mathbbm{Z} classification

Homotopy classification of Dirac mass gaps

* The space of mass matrices $V_{d,r=N}^s$ belongs to different

classifying spaces C_{s-d} (for "complex class") or R_{s-d} (for "real class")

- the relation between AZ symmetry class and classifying space is as follows:

	classifying space	$\pi_0(*)$	1D AZ class	2D AZ class
\mathcal{C}_0	$\cup_{n=0}^{N} \{ U(N) / [U(n) \times U(N-n)] \}$	\mathbb{Z}	AIII	А
\mathcal{C}_1	U(N)	0	А	AIII
\mathcal{R}_0	$\cup_{n=0}^{N} \{ O(N) / [O(n) \times O(N-n)] \}$	\mathbb{Z}	BDI	D
\mathcal{R}_1	O(N)	\mathbb{Z}_2	D	DIII
\mathcal{R}_2	O(2N)/U(N)	\mathbb{Z}_2	DIII	AII
\mathcal{R}_3	U(N)/Sp(N)	0	AII	CII
\mathcal{R}_4	$\cup_{n=0}^{N} \{Sp(N)/[Sp(n) \times \$p(\mathbb{N}^{\operatorname{tal}\underline{p}has})]\}$	\mathbb{Z}	CII	\mathbf{C}
\mathcal{R}_5	Sp(N)	0	C	CI
\mathcal{R}_6	Sp(2N)/U(N)	0	$\left(\begin{array}{c} I \\ CI \\ CI \end{array}\right)$	AI
\mathcal{R}_7	$\frac{U(N)/O(N)}{(N)} \qquad \qquad$	0	X	BDI

* The 0th homotopy group indexes the disconnected parts of the space of $n_{n}^{\text{Even } N}$ matrices



Ten-fold classification:

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- non-spatial symmetries:



Schnyder, Ryu, Furusaki, Ludwig, PRB (2008)

A. Kitaev, AIP (2009)

Ten-fold classification:

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- non-spatial symmetries:

- time-revers	al:	7	$\Gamma \mathcal{H}($	\mathbf{k})7	n—1	= +	$-\mathcal{H}(-1)$	$\mathbf{k}); \qquad T^2 = \pm 1$
- particle-hol	e:	($C\mathcal{H}($	(\mathbf{k})	k); $\mathbf{c}_{AI} = \mathbf{c}_{BD} \pm 1$ ten symmetry classes			
- sublattice:		Θ/ Ξ/ Π/	5(¥) (∀(k)∃ ∀(k)I	$\mathbf{\bar{k}}$	₹ <i>H</i> @– - <i>H</i> (–I - <i>H</i> (k	<u>k);</u> ();); П	$\frac{\Theta^2 \mathcal{H}(\mathbf{k}^1)}{\Xi^2 = \pm 1}$ $I \propto \Theta \Xi$	$(); \underbrace{cS \propto T_{D}P_{\Xi^{2}}}_{CII AII DIII}$
	Sv	mme	etrv			dim		
	Class	$\mid T$	C	S	1	2	3	\mathbb{Z} : integer classification
	<u> </u>	0	0	0	0	7.	0	\mathbb{Z}_2 : binary classification
Ses	AIII	0	0	1	Z	0	Z	0 ⁻ : no topological state
lass	AI	1	0	0	0	0	0	
x C	BDI	1	1	1	\mathbb{Z}	0	0	chiral p-wave superconductor (Sr ₂ RuO ₄)
atri	D	0	1	0	\mathbb{Z}_2	\mathbb{Z}^{+}	×0 -	
-pu	DIII	-1	1	1	\mathbb{Z}_2^-	\mathbb{Z}_2	\mathbb{Z} \checkmark	TRI topological triplet SC (³ He B)
dom	All	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	
and	CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	chiral d-wave superconductor
r (С	0	-1	0	0	\mathbb{Z}^{\prec}	<0>	
	CI	1	-1	1	0	0	\mathbb{Z}	

Ten-fold classification:

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- non-spatial symmetries:

 time-revers particle-hole sublattice: 	al: e:	7 () Э/ Е/	ΓΗ(Ξ CH(5∰@ 7(k)Ξ 7(k)Π	$\mathbf{k} T$ $\mathbf{k} C$ $\mathbf{k} S$	y — 1 = y — 1 = g H(I—k g H(I—k) - H(k)	$= +7$ $= -7$ $\underline{)}; \underline{-9}$ $(); \Xi$	$\mathcal{H}(-]$ $\mathcal{H}(-]$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$ $\mathcal{H}(\mathbf{k})$	k); k);	CI C <i>I</i> CII		± 1 ${}{\rightarrow} 1$		ten s cl	ymmetry asses
	Sy	/mm	etry				S	oatial	Dime	ensior	n d			
	Class	$\mid T$	\dot{C}	S	1	2	3	4	5	6	7	8	•••	
()	A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	•••	
, see	AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	•••	
las	AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	• • •	
nba N C	BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	•••	
Zirr	D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	•••	
לים ביר	DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	•••	
ltla	All	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	•••	
A	CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	•••	
æ (С	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	•••	
	CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	•••	



• Topological invariants: Chern numbers and winding numbers

$$Ch_{n+1}[\mathcal{F}] = \frac{1}{(n+1)!} \int_{\mathrm{BZ}^{d=2n+2}} \operatorname{tr}\left(\frac{i\mathcal{F}}{2\pi}\right)^{n+1}$$
$$\nu_{2n+1}[q] = \frac{(-1)^n n!}{(2n+1)!} \left(\frac{i}{2\pi}\right)^{n+1} \int_{\mathrm{BZ}} \epsilon^{\alpha_1 \alpha_2 \cdots} \operatorname{tr}\left[q^{-1} \partial_{\alpha_1} q \cdot q^{-1} \partial_{\alpha_2} q \cdots\right] d^{2n+1}k$$

Extension I: Weak topological insulators and supercondutors

strong topological insulators (superconductors): not destroyed by positional disorder

weak topological insulators (superconductors): only possess topological features when translational symmetry is present

weak topological insulators (superconductors) are topologically equivalent to parallel stacks of lowerdimensional strong topological insulator (SCs).

co-dimension k=1

co-dimension k=2



	Symi	metry		Dime	nsior	1	
AZ	Т	С	S	1	2	3	4
А	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}
BDI	1	1	1	Z	0	0	0
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
All	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
CII	-1	-1	1	Z	0	\mathbb{Z}_2	\mathbb{Z}_2
С	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
CI	1	-1	1	0	0	\mathbb{Z}	0

d-dim.weak topological insulators (SCs) of co-dimension k can occur whenever there exists a strong topological state in same symmetry class but in (d-k) dimensions.



top. invariants $0 < k \le d$

cf. Kitaev, AIP Conf Proc. 1134, 22 (2009)

Extension II: Zero mode localized on topological defect

Protected zero modes can also occur at topological defects in D-dim systems

Point defect (r=0): Hedgehog (D=3), vortex (D=2), domain wall (D=1)





Line defect (r=1): dislocation line (D=3) domain wall (D=2)

Two-dim defects (r=2): domain wall (D=3)

Freedman, et. al., PRB (2010) Teo & Kane, PRB (2010) Ryu, et al. NJP (2010)

	Symi	metry		Dime	nsion		
AZ	Т	С	S	1	2	3	4
Α	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	Z	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}
BDI	1	1	1	Z	0	0	0
D	0	1	0	Z 2	\mathbb{Z}	0	0
DIII	-1	1	1	Z 2	\mathbb{Z}_2	\mathbb{Z}	0
All	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
CII	-1	-1	1	Z	0	\mathbb{Z}_2	\mathbb{Z}_2
С	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2
CI	1	-1	1	0	0	\mathbb{Z}	0

Can an r-dimensional topological defect of a given symmetry class bind gapless states or not?

look at column d=(r+1)

(answer does not depend on D!)

line defect in class A:

$$n = \frac{1}{8\pi^2} \int_{T^3 \times S^1} \operatorname{Tr}[\mathcal{F} \wedge \mathcal{F}]$$

(second Chern no = no of zero modes)



Topological semi-metals and nodal superconductors





Topological nodal superconductors

- P How about topology of nodal superconductors and semi-metals?
- Problem: Global topological number ill-defined (no gap!)
- **Solution:** (assume translational symmetry)

Define momentum-dependent topological number

$$W_{\mathcal{C}}^{\pm} = \frac{1}{2\pi} \oint_{\mathcal{C}} \omega_{\pm}(\mathbf{k}) dk_l$$







 ${\cal C}$ does enclose Fermi point

 $W_{\mathcal{C}}^{\pm} = \pm 1 \implies \text{topologically stable}$

Topologically stable point nodes in dx2-y2 -wave SCs

Consider $d_{x^2-y^2}$ -wave superconductor

$$\mathcal{H}(\boldsymbol{k}) = \begin{pmatrix} +\varepsilon_{\boldsymbol{k}} & \Delta_{\boldsymbol{k}} \\ \Delta_{\boldsymbol{k}} & -\varepsilon_{\boldsymbol{k}} \end{pmatrix} \qquad \Delta_{\boldsymbol{k}} = \Delta_0(\cos k_x - \cos k_y)$$

Satisfies time-reversal symmetry T and particle-hole symmetry C

Combination of particle-hole symmetry and time-reversal symmetry gives

$$S\mathcal{H}(\boldsymbol{k})S^{\dagger} = -\mathcal{H}(\boldsymbol{k})$$
 with $S = TC = \sigma_2$

In basis in which S is diagonal $\mathcal{H}(\mathbf{k})$ takes off-diagonal form: $\tilde{\mathcal{H}}(\mathbf{k}) = \begin{pmatrix} 0 & \varepsilon_{\mathbf{k}} - i\Delta_{\mathbf{k}} \\ \varepsilon_{\mathbf{k}} + i\Delta_{\mathbf{k}} & 0 \end{pmatrix}$

Spectrum flattening: $q(\mathbf{k}) = \frac{\varepsilon_{\mathbf{k}} + i\Delta_{\mathbf{k}}}{\sqrt{\varepsilon_{\mathbf{k}}^2 + \Delta_{\mathbf{k}}^2}}$ Consider: $q(\mathbf{k}): S^1 \longrightarrow S^1$

 $\pi_1(S^1) = \mathbb{Z} \longrightarrow$ nodal points are protected by one-dimensional *winding number:*

$$W_{\mathcal{L}} = \frac{1}{2\pi i} \oint_{\mathcal{L}} dk_l \operatorname{Tr} \left[q^{-1} \partial_{k_l} q \right] = \pm 1$$

Note: $W_{\mathcal{L}}$ is invariant under path deformation.



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Topologically stable point nodes in d_{x2-y2} -wave SCs



Ky' [cf, Hu, PRL 94, Wakabayashi et al. '05]

Topologically stable point nodes in d_{x2-y2} -wave SCs



Experimental observation in high-Tc cuprates:



[Wei et al. PRL '98]

[Kashiwaya et al. '95, Alff et al. '97]

Weyl semi-metal

• Weyl Hamiltonian: $\mathcal{H}(\mathbf{k}) = \mathbf{N}(\mathbf{k}) \cdot \vec{\sigma}$

 $\mathbf{N}(\mathbf{k}) = v_F(k_x, k_y, k_z)^{\mathrm{T}}$

- No symmetries: \Rightarrow class A
- Topologically stable Weyl points protected by Chern number: $N_{\mathcal{C}} = \frac{1}{4\pi} \oint_{\mathcal{C}} d^2 \mathbf{k} \mathbf{n}_{\mathbf{k}} \cdot [\partial_{k_1} \mathbf{n}_{\mathbf{k}} \times \partial_{k_2} \mathbf{n}_{\mathbf{k}}] = \pm 1$ $\mathbf{n}_{\mathbf{k}} = \frac{\mathbf{N}(\mathbf{k})}{|\mathbf{N}(\mathbf{k})|}$
 - Weyl nodes are sources/drains of Berry flux

 $\mathbf{n_k} \cdot [\partial_{k_1} \mathbf{n_k} \times \partial_{k_2} \mathbf{n_k}] = \pm 1$ $\mathbf{n_k} = \frac{\mathbf{N}(\mathbf{k})}{|\mathbf{N}(\mathbf{k})|}$ Experimentally realized in: TaAs and NbAs [Su-Yang Xu et al., Science 2015]

• Fermi arc surface states



Fermi arcs





Weyl superconductor: 3D chiral p-wave superconductor



- Topologically stable Weyl points protected by Chern number: $N_{\mathcal{C}} = \frac{1}{4\pi} \oint_{\mathcal{C}} d^2 \mathbf{k} \, \mathbf{n}_{\mathbf{k}} \cdot [\partial_{k_1} \mathbf{n}_{\mathbf{k}} \times \partial_{k_2} \mathbf{n}_{\mathbf{k}}] = \pm 1 \quad \mathbf{n}_{\mathbf{k}} = \frac{\mathbf{N}(\mathbf{k})}{|\mathbf{N}(\mathbf{k})|}$
- Bulk-boundary correspondence: *surface arc* connecting the projected nodal points

Surface spectrum in slab geometry with (111) face





Weyl superconductor: 3D chiral p-wave superconductor

Chern number can be rewritten in terms of Berry curvature $~{f F}({f k})$

$$N = \frac{1}{2\pi} \int dk_x dk_y F_z(\mathbf{k}) = \pm 1$$

with $\mathbf{F}(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}(\mathbf{k})$ and $\mathbf{A}(\mathbf{k}) = i \langle u_{-}(\mathbf{k}) | \nabla_{\mathbf{k}} | u_{-}(\mathbf{k}) \rangle$

$$F_{x}(\mathbf{k}) = \frac{\Delta_{0}^{2}k_{F}^{2}k_{x}k_{z}}{\mu^{2}\left[(k^{2}-k_{F}^{2})^{2}+\frac{\Delta_{0}^{2}}{\mu^{2}}k_{F}^{2}(k_{x}^{2}+k_{y}^{2})\right]^{\frac{3}{2}}}$$
$$F_{y}(\mathbf{k}) = \frac{\Delta_{0}^{2}k_{F}^{2}k_{y}k_{z}}{\mu^{2}\left[(k^{2}-k_{F}^{2})^{2}+\frac{\Delta_{0}^{2}}{\mu^{2}}k_{F}^{2}(k_{x}^{2}+k_{y}^{2})\right]^{\frac{3}{2}}}$$
$$F_{z}(\mathbf{k}) = \frac{\Delta_{0}^{2}k_{F}^{2}\left(k_{z}^{2}-k_{x}^{2}-k_{y}^{2}-k_{F}^{2}\right)}{2\mu^{2}\left[(k^{2}-k_{F}^{2})^{2}+\frac{\Delta_{0}^{2}}{\mu^{2}}k_{F}^{2}(k_{x}^{2}+k_{y}^{2})\right]^{\frac{3}{2}}}$$

- Weyl nodes are sources and drains of Berry curvature
- \bullet Berry flux of $\,2\pi$ flowing from one Weyl node to the other



Berry curvature:



"Double" Weyl superconductor: 3D chiral d-wave SC

possible experimental realizations: URu₂Si₂, SrPtAs

Lattice BdG model:
$$H_{BdG} = \frac{1}{2} \sum_{\mathbf{k}} \begin{pmatrix} c^{\dagger}_{\mathbf{k\uparrow}} & c_{-\mathbf{k\downarrow}} \end{pmatrix} \mathcal{H}_{BdG} \begin{pmatrix} c_{\mathbf{k\uparrow}} \\ c^{\dagger}_{-\mathbf{k\downarrow}} \end{pmatrix}$$

 $\mathcal{H}_{\mathrm{BdG}}(\mathbf{k}) = \mathbf{N}(\mathbf{k}) \cdot \vec{\tau} \quad \mathbf{N}(\mathbf{k}) = \left(\Delta_0 (k_x^2 - k_y^2) / k_F^2, 2\Delta_0 k_x k_y / k_F^2, h_{\mathbf{k}}\right)$

PHS and SU(2) spin-rotation symmetry \Rightarrow class C

 Topologically stable double Weyl points protected by Chern number:

$$N_{\mathcal{C}} = \frac{1}{4\pi} \oint_{\mathcal{C}} d^2 \mathbf{k} \, \mathbf{n}_{\mathbf{k}} \cdot \left[\partial_{k_1} \mathbf{n}_{\mathbf{k}} \times \partial_{k_2} \mathbf{n}_{\mathbf{k}}\right] = \pm 2 \qquad \mathbf{n}_{\mathbf{k}}$$

$$\mathbf{n_k} = rac{\mathbf{N}(\mathbf{k})}{|\mathbf{N}(\mathbf{k})|}$$

double Weyl points

• Bulk-boundary correspondence: *two spin-degenerate* arc surface states



"Double" Weyl superconductor: 3D chiral d-wave SC

Chern number can be reexpressed in terms of Berry curvature $\mathbf{F}(\mathbf{k})$

$$N = \frac{1}{2\pi} \int dk_x dk_y F_z(\mathbf{k}) = \pm 2$$

with $\mathbf{F}(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}(\mathbf{k})$ and $\mathbf{A}(\mathbf{k}) = i \langle u_{-}(\mathbf{k}) | \nabla_{\mathbf{k}} | u_{-}(\mathbf{k}) \rangle$

$$F_{x}(\mathbf{k}) = \frac{2\Delta_{0}^{2}k_{x}k_{z}(k_{x}^{2} + k_{y}^{2})}{\mu^{2} \left[(k^{2} - k_{F}^{2})^{2} + \frac{\Delta_{0}^{2}}{\mu^{2}}(k_{x}^{2} + k_{y}^{2})^{2} \right]^{\frac{3}{2}}}$$

$$F_{y}(\mathbf{k}) = \frac{2\Delta_{0}^{2}k_{y}k_{z}(k_{x}^{2} + k_{y}^{2})}{\mu^{2} \left[(k^{2} - k_{F}^{2})^{2} + \frac{\Delta_{0}^{2}}{\mu^{2}}(k_{x}^{2} + k_{y}^{2})^{2} \right]^{\frac{3}{2}}}$$

$$F_{z}(\mathbf{k}) = \frac{2\Delta_{0}^{2}(k_{z}^{2} - k_{F}^{2})(k_{x}^{2} + k_{y}^{2})}{\mu^{2} \left[(k^{2} - k_{F}^{2})^{2} + \frac{\Delta_{0}^{2}}{\mu^{2}}(k_{x}^{2} + k_{y}^{2})^{2} \right]^{\frac{3}{2}}}$$

• Weyl nodes are double anti-monopoles of the Berry curvature



Berry curvature:



Nodal non-centrosymmetric superconductors

[E. Bauer et al. PRL '04]

• Lack of inversion causes anti-symmetric SO coupling:

Normal state:
$$\mathcal{H} = \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^{\dagger} \left(\varepsilon_{\mathbf{k}} \sigma_{0} + |\mathbf{g}_{\mathbf{k}}| \sigma_{3} \right) \Psi_{\mathbf{k}}$$

SO coupling for C_{4v} point group: $\mathbf{q}_{\mathbf{k}} = k_{u} \hat{\mathbf{x}} - k_{x} \hat{\mathbf{y}}$

• Lack of inversion allows for admixture of spin-singlet and spin-triplet pairing components

$$\Delta_{\mathbf{k}} = (\Delta_{\mathbf{s}}\sigma_0 + \Delta_{\mathbf{t}}\,\mathbf{d}_{\mathbf{k}}\cdot\vec{\sigma})\,i\sigma_y \qquad (g_{\mathbf{k}} \parallel d_{\mathbf{k}})$$

Gaps on the two Fermi surfaces:

$$\Delta_{\boldsymbol{k}}^{\pm} = \Delta_s \pm \Delta_p \left| \boldsymbol{d}_{\boldsymbol{k}} \right|$$



Nodal non-centrosymmetric superconductors

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Normal state:
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$$\Delta_{\mathbf{k}} = (\Delta_{\mathrm{s}}\sigma_0 + \Delta_{\mathrm{t}}\,\mathbf{d}_{\mathbf{k}}\cdot\vec{\sigma})\,i\sigma_y \qquad (\mathbf{g}_{\mathbf{k}} \parallel \mathbf{d}_{\mathbf{k}})$$

Gaps on the two Fermi surfaces:

 $\Delta_s > \Delta_t$

full gap



negative helicity FS



 $\Delta_{\mathbf{k}}^{\pm} = \Delta_s \pm \Delta_p \left| \mathbf{d}_{\mathbf{k}} \right|$

full gap

Nodal topological superconductors

Consider nodal topological superconductor

Non-centro SC:
$$\mathcal{H}_{BdG}(\mathbf{k}) = \begin{pmatrix} \varepsilon_{\mathbf{k}}\sigma_{0} + \lambda \mathbf{g}_{\mathbf{k}} \cdot \vec{\sigma} & [\Delta_{s}\sigma_{0} + \Delta_{t}d_{\mathbf{k}} \cdot \vec{\sigma}](i\sigma_{y}) \\ (-i\sigma_{y})[\Delta_{s}\sigma_{0} + \Delta_{t}d_{\mathbf{k}} \cdot \vec{\sigma}] & [-\varepsilon_{\mathbf{k}}\sigma_{0} - \lambda \mathbf{g}_{\mathbf{k}} \cdot \vec{\sigma}^{*} \end{pmatrix}$$

Spin-split Fermi surfaces: $\xi_{\mathbf{k}}^{\pm} = \varepsilon_{\mathbf{k}} \pm \lambda |\mathbf{g}_{\mathbf{k}}|$
Gaps on the two Fermi surfaces: $\Delta_{\mathbf{k}}^{\pm} = \Delta_{s} \pm \Delta_{t} |d_{\mathbf{k}}|$
 $\Delta_{s} > \Delta_{t} \qquad \Delta_{s} \sim \Delta_{t} \qquad \Delta_{s} < \Delta_{t}$
negative helicity
Fermi surface
 $\int_{full \ gap}$
 $\Delta_{s} \sim \Delta_{t} \qquad \int_{full \ gap}$

Nodal topological superconductors

Topological characteristics depend on the symmetries of BdG Hamiltonian restricted to contour C.



	Sy	mme	etry			dim	
	Class	T	P	S	1	2	3
	А	0	0	0	0	\mathbb{Z}	0
<u>ō</u>	AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}
ati	AI	1	0	0	0	0	0
Ö	BDI	1	1	1	\mathbb{Z}	0	0
lf	D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0
SS	DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
a	All	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2
C	CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2
	С	0	-1	0	0	\mathbb{Z}	0
	CI	1	-1	1	0	0	\mathbb{Z}

$$\mathbf{d}_{\mathbf{k}} = (\sin k_x + \sin k_y, \sin k_x + \sin k_y, \sin k_z)^{\mathrm{T}}$$
$$\Delta_s \sim \Delta_t$$

If 1D contour *is not* centrosymmetric: TRS XPHS XTRS+PHS (chiral sym S) V

AIII: 1D Winding number:

$$W_C = \frac{1}{2\pi} \oint_{\mathcal{C}} dk_l \,\partial_{k_l} \left[\arg(\xi_{\mathbf{k}}^- + i\Delta_{\mathbf{k}}^-) \right]$$

flat band surface states





in terms of global symmetries (TRS, PHS, SLS)

Classification of topological nodal semimetals and superconductors depends on:

• symmetry of Hamiltonian (TRS, PHS, SLS)

 \Rightarrow symmetry classes of ten-fold way

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- co-dimension $p = d d_{FS}$ of Fermi surface (d_{FS} : dimension of Fermi surface)
- how Fermi surface transforms under global symmetries



(ii) Fermi surfaces *pairwise related* by global symmetries



Gapless Dirac Hamiltonians

(i) Fermi surface is *invariant* under global symmetries

$$\mathcal{H}(k) = \sum_{i=1}^{d} k_i \gamma_i \qquad \qquad E_{\pm} = \pm \sqrt{\sum_{i=1}^{d} k_i^2}$$



are there symmetry preserving mass terms $M\gamma_{d+1}$ that open up a gap in the spectrum?

$$\{\gamma_{d+1}, \gamma_i\} = 0 \quad i = 0, 1, \dots 2$$

$$\{+1, \gamma_i\} = 0$$
 $i = 0, 1, \dots 2$ $E_{\pm} = \pm \sqrt{M^2 + \sum_{i=1}^d k_i^2}$
NO: topologically non-trivial **YES:** topologically

- to distinguish between \mathbb{Z}_2 and \mathbb{Z} classification consider doubled version of Hamiltonian

(ii) Fermi surfaces *pairwise related* by global symmetries

$$\mathcal{H}(k) = \sum_{i=1}^{p-1} \sin k_i \gamma_i + \left(1 - p + \sum_{i=1}^p \cos k_i\right) \gamma_0$$

- semi-metal with (d-p)-dimensional Fermi surface





Fermi surface is *invariant* under global symmetries

$$p = d - d_{\rm FS}$$

classification of *Fermi* points with $d_{\rm FS}=0$ in **d** dimensions



classification of *fully gapped* topological materials in **d+1** dimensions



at high-sym. point	T	C	S	<i>p</i> =1	p=2	<i>p</i> =3	p=4	p=5	p=6	p=7	p=8
А	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	+1	0	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0
BDI	+1	+1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}
D	0	+1	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$
DIII	-1	+1	1	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger,\S}$
AII	-1	0	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
CII	-1	-1	1	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
C	0	-1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0
CI	+1	-1	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0

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(i) Fermi surface under global	e is <i>in</i> symm	<i>varian</i> etries	t		p :	= d -								
classification of Formula $points$ with $d_{\rm FS}$ in ${\rm d}$ dimension	ermi = 0 s			clas	sificatic topolog in d+1	on of <i>ful</i> ical ma dimen	<i>ly gapp</i> terials sions	ed						
at high-sym point $T = C = S$ $n-1$ $n-2$ $n-3$ $n-4$ $n-5$ $n-6$ $n-7$ $n-8$														
at high-sym. point	T	C	S	<i>p</i> =1	p=2	p=3	p=4	p=5	p=6	p=7	<i>p</i> =8			
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0			
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}			
AI	+1	0	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0			
BDI	+1	+1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\overline{\dagger}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}			
D	0	+1	0	Z	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\overline{\dagger}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$			
DIII	-1	+1	1	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	$\frac{2}{0}$	$\mathbb{Z}_2^{\dagger, \S}$			
AII	-1	0	0	$\mathbb{Z}_2^{\overline{\dagger},\$}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	$\overline{0}$			
CII	-1	-1	1		$\mathbb{Z}_2^{ar{f}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb Z$	0	0	0	$2\mathbb{Z}$			
\mathbf{C}	0	-1	0	$2\mathbb{Z}$	$\overline{0}$	$\mathbb{Z}_2^{ar{f}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0			
CI	+1	-1	1	0	$2\mathbb{Z}$	$\frac{2}{0}$	$\mathbb{Z}_2^{\bar{\dagger}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0			

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Fermi surfaces *pairwise related* by global global symmetries

$$p = d - d_{\rm FS}$$

classification of Fermi points with $d_{\rm FS}=0$ in **d** dimensions



classification of *fully gapped* topological materials in **d-1** dimensions



off high-sym. point	T	C	S	<i>p</i> =1	p=2	p=3	p=4	p=5	p=6	p=7	p=8
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	+1	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$
BDI	+1	+1	1	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$
D	0	+1	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-1	+1	1	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	-1	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0
	0	-1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0
CI	+1	-1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}

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Fermi surfaces *pairwise related* by global global symmetries

$$p = d - d_{\rm FS}$$

classification of Fermi points with $d_{\rm FS}=0$ in **d** dimensions



classification of *fully gapped* topological materials in **d-1** dimensions



off high-sym. point	T	C	S	<i>p</i> =1	p=2	p=3	p=4	Weyls	semi-m	netal	p=8
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}		Ľ	NCS	\mathbb{Z}	0	\mathbb{Z}
AI	+1	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$
BDI	+1	+1	1	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	æ	Weyl	superc	conduc	ctor §
D	0	+1	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-1	+1	1	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	-1	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	77,	Ο	0	0
CII	-1	-1	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger,\$}$	chiral	d-wav	ve SC	0
	0	-1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\overline{\dagger}, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}	0
CI	+1	-1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2^{\dagger, \S}$	$\mathbb{Z}_2^{\dagger, \S}$	\mathbb{Z}